

## **The Aarhus Convention – National Implementation Report for Germany**

**Provide brief information on the process by which this report has been prepared, including information on which types of public authorities were consulted or contributed to its preparation, on how the public was consulted and how the outcome of the public consultation was taken into account and on the material which was used as a basis for preparing the report.**

This report was prepared by the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU). The draft report was coordinated among the various departments of the Federal Government and with the federal states (*Länder*). For the purposes of consultation with the public, the German-language version of the draft report was made available to associations and the general public on the BMU's web site for a four-week period, with opinions being invited. As part of this public consultation process, German environmental associations in particular stated that the opportunities for recourse to the courts were inadequate and that rights of participation had been curtailed in the transposition of the Public Participation Directive and in the legislation to accelerate approval procedures. These views are not shared by the Federal Government. However, the outcomes of the public consultation were taken into account as far as possible in the revision of the report. Where opinions on the requirements arising from the Convention differed, the Federal Government's view was taken as the basis.

**Report any particular circumstances that are relevant for understanding the report, e.g. whether there is a federal and/or decentralized decision-making structure, whether the provisions of the Convention have a direct effect upon its entry into force, or whether financial constraints are a significant obstacle to implementation (optional).**

The Federal Republic of Germany is a federal state. This means that the functions and powers of the state are divided between the Federation and the 16 federal states (*Länder*), also as regards the implementation of the Aarhus Convention (AC).

In general, international treaties such as the Aarhus Convention require a national legislative act to transpose them and do not apply directly in German law. In principle, the Federal Republic of Germany only ratifies international conventions if the national law conforms with the relevant

international legal obligations or has been brought into line with them. The ratification of the Aarhus Convention therefore only took place after appropriate amendments had been made to German law. These were undertaken together with the transposition of Directives 2001/42/EC, 2003/4/EC and 2003/35/EC, which had already integrated key elements of the AC into European law.

### **Article 3**

#### **List legislative, regulatory and other measures that implement the general provisions in paragraphs 2, 3, 4, 7 and 8 of Article 3.**

The general provisions in Article 3 AC are implemented in Germany, in accordance with the federal division of competence, at both federal and *Land* level.

- (a) In general, pursuant to Article 25 of the federal Administrative Procedure Act (*Verwaltungsverfahrensgesetz – VwVfG*), the authorities are obliged to give information and advice regarding the rights and duties of participants in the administrative proceedings. Corresponding statutory provisions are contained in the administrative procedure acts of all the federal states, either through a reference to the applicable rules of federal law or through regulations with similar content at *Land* level. Specifically for the scope of Article 3 (2) AC, Article 7 of the federal Environmental Information Act (*Umweltinformationsgesetz – UIG*) states that the bodies obliged to furnish information must adopt practical measures to facilitate access to the environmental information that they hold. In the federal states, this statutory provision is applicable either through a legal reference contained in the environmental information acts adopted by the *Länder*<sup>1</sup>, or corresponding *Land* regulations exist<sup>2</sup>. As regards the authorities' support for access to justice in environmental matters, there are various statutory provisions which safeguard the mandatory provision of information to citizens about the legal remedies available, e.g. Article 5 (4) UIG, Article 8 (3), Article 9 (2) and Article 9a (1) second sentence of the Federal Environmental Impact Assessment Act [*Gesetz über die Umweltverträglichkeitsprüfung – UVPG*], and

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<sup>1</sup> See, for example, Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 (3) UIG NRW, Article 1 (3) UIG LSA.

Article 21 of the Ninth Ordinance implementing the Federal Immission Control Act (*Neunte Verordnung zur Durchführung des Bundes-Immissionsschutzgesetzes – 9. BImSchV*).

- (b) Environmental education and environmental awareness among the public are promoted by many different means in Germany. Since the early 1990s, the Federal Government has commissioned various empirical studies into the Germans' environmental awareness and environmental conduct. The representative surveys are designed in a way which makes time series comparisons possible and allows development trends over the course of the years to be discerned. The findings of these studies are published.

The Federal Government provides a comprehensive range of materials to promote environmental awareness, both on its web site and in numerous print publications. Some examples are as follows: the BMU's Education Service (*Bildungsservice – [www.bmu.de/bildungsservice](http://www.bmu.de/bildungsservice)*), for example, coordinates and provides information on all the BMU's educational activities in and outside schools. Geared towards "Education for Sustainable Development", the topics and materials from environmental and nature conservation offer excellent starting points for the development of technical and scientific problem-solving skills (scientific literacy). The BMU's educational materials, which are available free of charge and recognised as a UN Decade project, are high-quality, scientifically up-to-date and service-oriented materials on environmental protection and nature conservation, while at the same time providing examples, impetuses and ideas on how sustainable development, environmental protection and nature conservation may be used for general educational purposes. As part of the Federal Organic Farming Scheme (*Bundesprogramm Ökologischer Landbau*), the Federal Ministry of Food, Agriculture and Consumer Protection (BMELV) has produced comprehensive target-group-specific information materials on organic farming and produce. The offer ranges from a central organic farming Internet portal ([www.oekolandbau.de](http://www.oekolandbau.de)) with specific information for businesses, scientists and teachers, to guided and non-guided exhibitions and events for consumers. For children and young people in particular, a wide range of teaching materials is available for use in lessons at general and specialized schools ([www.oekolandbau.de/lehrer/](http://www.oekolandbau.de/lehrer/)). These services are supplemented by an annual competition for schoolchildren ([www.bio-find-ich-kuhl.de](http://www.bio-find-ich-kuhl.de)). Comprehensive information for teachers and students in the sphere of environmental education can also be found on

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<sup>2</sup> See, for example, Article 5 BayUIG, Article 5 HUIG, Article 7 LUIG RPF, Article 11 SächsUIG, Article 11 (1)

the German Education Server [Eduserver], a joint Internet project involving the Federal Government and the *Länder*. As education in Germany falls within the jurisdiction of the *Länder*, appropriate training for teachers is provided by the *Land* education authorities. At universities, too, specialist research institutes deal with issues relating to environmental education; one example is the Centre for Environmental Education at the University of Essen.

The task of environmental education in the field of nature conservation is regulated in Article 6 (3) of the Federal Nature Conservation Act (*Bundesnaturschutzgesetz – BNatSchG*) and the *Land* legislation enacted on this basis<sup>3</sup>.

- (c) In accordance with Article 3 (4) of the Aarhus Convention (AC), the Federal Government provides for appropriate recognition of and support to associations, organizations or groups promoting environmental protection. Within the Federal Government's support measures, the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU), for example, in collaboration with the Federal Environmental Agency (UBA) and the Federal Agency for Nature Conservation (BfN), supports environmental and nature conservation associations through the provision of grants for environmental and nature conservation projects which aim to raise awareness and commitment in this area. In particular, they include projects on key topical issues, children's and youth projects with a wide appeal, projects which promote behaviour compatible with the interests of the environment and nature, and environmental advice and training projects. In the 2008 funding year, priority topics will be biodiversity, renewable energies, energy efficiency, materials and resource efficiency, climate, transport and noise. To qualify for funding, projects must fulfil specific criteria; in particular, they must have a model character and there must be a specific federal interest associated with the project. Further information about the funding available for environmental associations and the application process can be accessed on the BMU and UBA web sites. With funding from the Federal Organic Farming Scheme, the BMELV has in recent years supported activities being undertaken by the German League for Nature and Environment and its member associations, especially as regards the provision of information to members about organic farming. Financial support was also provided for numerous events organized by the organic farming associations in order to disseminate current scientific

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UIG-SH, Article 7 ThürUIG.

findings.

- (d) As regards the implementation of Article 3 (7) of the Aarhus Convention, all relevant bodies of the Federal Government have been informed, in the context of the internal consultation process, about the Convention's principles and the "Almaty Guidelines". The departments have received German versions of the Guidelines. Additionally, an internal dialogue has been initiated in order to collect and exchange experiences on the application of the "Almaty Guidelines" in international fora. The concrete applicability of the Guidelines was considered by some as difficult given the specific autonomous decision structures in the different fora. Still, the general view was a positive one, namely that the Convention's principles on access to environmental information and public participation in environmental matters were being implemented in the international context by all parties involved even if there was not always a direct reference to the Guidelines. In the case of water-related conventions, for example (in particular river basin commissions and agreements on marine protection), the Guidelines' content is de facto implemented through the requirements of the EU Water Framework Directive which also provides for active public participation. In some cases, clear reference has been made in international decision procedures to the "information of the public", as had been suggested by Germany, for example in a UNECE document on pipeline safety.
- (e) The free exercise of the rights pursuant to Article 3 (8) of the Aarhus Convention is guaranteed by the constitutional principle of law and justice enshrined in Article 20 (3) of Germany's constitution, the Basic Law (*Grundgesetz* – GG) and by the fundamental rights enshrined in the Basic Law, especially the prohibition of discrimination in Article 3. Article 19 (4) of the Basic Law provides for effective recourse to the courts should any person's rights be violated by public authority.

**Describe any obstacles encountered in the implementation of any of the paragraphs of Article 3 listed above.**

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<sup>3</sup> Article 11 NatSchG B-W; Article 2 (3) BayNatSchG; Article 1c BbgNatSchG; Article 6 HmbNatSchG; Article 1 (3) (final para.) HNatG; Article 7 (2) LNat M-V; Article 6 LNatSchG RPF; Article 2 (4) SächsNatSchG; Article 8 LNatSchG LSA; Article 2 (2) LNatSchG S-H; Article 2 (3) ThürNatG.

**Provide further information on the practical application of the general provisions of the Convention.**

**Give relevant web site addresses, if available:**

*Information from the federal authorities:*

- Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU):  
<http://www.bmu.de/>
- BMU web pages on the Aarhus Convention:  
[http://www.bmu.de/buergerbeteiligungsrechte/die\\_aarhus-konvention/doc/2608.php](http://www.bmu.de/buergerbeteiligungsrechte/die_aarhus-konvention/doc/2608.php)
- BMU web pages on environmental information:  
<http://www.bmu.de/umweltinformation/aktuell/aktuell/1786.php>
- BMU web pages on environmental assessments (Environmental Impact Assessment (EIA) + Strategic Environmental Assessment (SEA)):  
<http://www.bmu.de/umweltvertraeglichkeitspruefung/aktuell/aktuell/6364.php>
- BMU's Education Service: <http://www.bmu.de/bildungsservice>
- Federal Environmental Agency (UBA): <http://www.umweltbundesamt.de/>
- Federal Environmental Agency (UBA) on environmental awareness and sustainable consumption:  
<http://www.umweltbundesamt.de/umweltbewusstsein/index.htm>  
<http://www.dialogprozess-konsum.de/>  
<http://www.beschaffung-info.de/web/php/index.php4>  
<http://www.blauer-engel.de/willkommen/willkommen.htm>
- German Advisory Council on the Environment:  
<http://www.umweltrat.de/>
- Environmental education materials on the German Education Server [Eduserver], a joint project involving the Federal Government and the *Länder*:  
<http://www.bildungsserver.de/zeigen.html?seite=706>
- Information and educational materials on organic farming:  
<http://www.oekolandbau.de>  
<http://www.oekolandbau.de/lehrer/>  
<http://www.bio-find-ich-kuhl.de>
- Funding for environmental associations:  
<http://www.bmu.de/foerderprogramme/verbaendefoerderung/foerderantraege/doc/3521.php>  
<http://www.umweltbundesamt.de/projektfoerderungen/index.htm>

*Information from the Länder:*

*Baden-Württemberg*

- Baden-Württemberg Ministry of the Environment  
<http://www.um.baden-wuerttemberg.de/servlet/is/1538/>
- State Institute for Environment, Monitoring and Nature Conservation (LUBW):  
<http://www.lubw.baden-wuerttemberg.de/servlet/is/10215/>
- Baden-Württemberg Environmental Portal: <http://www.umwelt-bw.de/servlet/is/811/>

*Bavaria*

- Bavarian State Ministry of the Environment, Public Health and Consumer Protection (StMUGV): <http://www.stmugv.bayern.de/>
- Bavarian Environmental Protection Agency:  
<http://www.bayern.de/lfu/lfu1/index.php>

*Berlin*

- Senate Department for Health, Environment and Consumer Protection:  
<http://www.berlin.de/sen/umwelt/index.shtml>
- Senate Department for Urban Development:  
<http://www.stadtentwicklung.berlin.de/service/de/umweltinformationen.shtml>

*Brandenburg*

- Ministry for Rural Development, Environment and Consumer Protection:
- [http://www.mluv.brandenburg.de/sixcms/list.php/mluv\\_portal](http://www.mluv.brandenburg.de/sixcms/list.php/mluv_portal)

*Bremen*

- Bremen Senator for Environment, Building and Transport: <http://www.umwelt.bremen.de/>

*Hamburg*

- Department of Urban Development and Environment  
<http://fhh.hamburg.de/stadt/Aktuell/behoerden/stadtentwicklung-umwelt/umwelt>

*Hesse*

- Hessian Ministry of the Environment, Rural Affairs and Consumer Protection:  
<http://www.hmulv.hessen.de/>
- State Office for Environment and Geology:  
<http://www.hlug.de>

*Lower Saxony*

- Ministry of the Environment of Lower Saxony: <http://www.mu.niedersachsen.de/>

*Mecklenburg-Western Pomerania*

- Ministry of the Environment of Mecklenburg-Western Pomerania:

[http://www.mv-regierung.de/lm/pages/txt\\_org\\_abt6\\_mab.htm](http://www.mv-regierung.de/lm/pages/txt_org_abt6_mab.htm)

#### *North Rhine-Westphalia*

- Ministry of the Environment and Conservation, Agriculture and Consumer Protection of the State of North Rhine-Westphalia: <http://www.murl.nrw.de/>
- State Office for Nature, Environmental Protection and Consumer Protection of North Rhine-Westphalia: <http://www.lanuv.nrw.de/>

#### *Rhineland-Palatinate*

- Rhineland-Palatinate Ministry of the Environment, Forestry and Consumer Protection <http://www.mufv.rlp.de/>
- State Central Office for Environmental Education: <http://www.umdenken.de>
- State Office for the Environment, Water Management and Trading Standards of Rhineland-Palatinate (LUWG): <http://www.luwg.rlp.de>
- Forestry Administration of Rhineland-Palatinate: <http://www.wald-rlp.de>

#### *Saarland*

- Saarland Ministry of the Environment: [http://www.saarland.de/ministerium\\_umwelt.htm](http://www.saarland.de/ministerium_umwelt.htm)
- Saarland State Office for the Environment and Workplace Safety: <http://www.lua.saarland.de/>

#### *Saxony*

- State Ministry of the Environment and Agriculture (SMUL): <http://www.smul.sachsen.de/de/wu/index.html>
- State Office of the Environment and Geology: <http://www.umwelt.sachsen.de/lfug>

#### *Saxony-Anhalt*

- State Ministry of the Environment and Agriculture of Saxony-Anhalt (SMUL): <http://www.sachsen-anhalt.de/LPSA/index.php?id=1743>
- State Office for Environmental Protection: <http://www.mu.sachsen-anhalt.de/start/main.htm>

#### *Schleswig-Holstein*

- Schleswig-Holstein Ministry for Agriculture, Environment and Rural Areas: <http://www.umwelt.schleswig-holstein.de/servlet/is/154/>
- State Office for Nature and the Environment:



<http://www.umwelt.schleswig-holstein.de/servlet/is/155/>

*Thuringia*

- Thuringian Ministry for Agriculture, Nature Conservation and the Environment:  
<http://www.thueringen.de/de/tmlnu/content.asp>
- Thuringian State Authority for Environment and Geology (TLUG): <http://www.tlug-jena.de/>

*Other information:*

- Survey on public environmental awareness, conducted by Philipps University Marburg on behalf of the Federal Environmental Agency (UBA): <http://www.umweltbewusstsein.de/ub/>
- German Association for Environmental Education: <http://www.umwelterziehung.de>
- Centre for Environmental Education at the University of Essen: <http://www.uni-essen.de/zue/>
- General information from the Independent Institute for Environmental Concerns (UfU) on the Aarhus Convention: <http://www.aarhus-konvention.de/>

## **Article 4**

### **List legislative, regulatory and other measures that implement the provisions on access to environmental information in Article 4.**

In Germany, the provisions of the Aarhus Convention on access to environmental information and those of Directive 2003/4/EC on public access to environmental information have, for constitutional reasons, been transposed solely for the federal level by means of the revised Environmental Information Act (*Umweltinformationsgesetz – UIG*) of 22 December 2004.

At *Land* level, the federal states have adopted corresponding legislation within their jurisdiction:

- Environmental Information Act of *Land* Baden-Württemberg of 07.03.2006 (LUIG B-W)
- Bavarian Environmental Information Act of 08.12.2006 (BayUIG)
- Berlin Freedom of Information Act, as amended on 11.07.2006, particularly Article 18a concerning environmental information (IFG Bln)
- Environmental Information Act of *Land* Brandenburg of 26.03.2007 (BbgUIG)
- Bremen Environmental Information Act of 15.11.2005 (BremUIG)
- Hamburg Environmental Information Act of 04.11.2005 (HmbUIG)
- Hessian Environmental Information Act of 14.12.2006 (HUIG)
- Environmental Information Act of Lower Saxony of 07.12.2006 (NUIG)

- Environmental Information Act of *Land* Mecklenburg-Western Pomerania of 14.07.2006 (LUIG M-V)
- Environmental Information Act of North Rhine-Westphalia of 29.03.2007 (UIG NRW)
- Environmental Information Act of *Land* Rhineland-Palatinate of 19.10.2005 (LUIG RPF)
- Saarland Environmental Information Act of 12.09.2007 (SaarLUIG)
- Environmental Information Act of Saxony of 01.06.2006 (SächsUIG)
- Environmental Information Act of *Land* Saxony-Anhalt of 14.02.2006 (UIG LSA)
- Environmental Information Act of Schleswig-Holstein of 02.03.2007 (UIG-SH)
- Thuringian Environmental Information Act of 10.10.2006 (ThürUIG).

The following comments are based on the federal legislation in each case and make reference, to the extent possible, to the – largely identical – provisions of *Land* law. Furthermore, outside the scope of the legislation listed, entitlements to information in the sphere of consumer information are guaranteed by the new Consumer Information Act, and to general official information, on a subsidiary basis, also by the freedom of information legislation adopted at federal and *Land* level.

The definitions of the relevant terms used in Article 2 AC ("public authority", "environmental information") are found in Article 2 of the Environmental Information Act (UIG)<sup>4</sup>.

- (a) As regards Article 4 (1) AC, pursuant to Article 3 (1) UIG<sup>5</sup>, every person is entitled to free access to environmental information without a legal interest having to be stated. Pursuant to Article 3 (2) UIG<sup>6</sup>, this access may be provided through the furnishing of information, inspection of files, or by other means (e.g. transmission of copies). If a specific form of access to information is requested, such access may only be provided in a form other than that specified if there are compelling reasons to do so. If the

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<sup>4</sup> At *Land* level, Article 2 LUIG B-W and Article 3 (1) LUIG B-W in conjunction with Article 2 (3) and (4) UIG; Article 2 BayUIG; Article 18a IFG Bln in conjunction with Article 2 UIG; Article 2 BbgUIG and Article 1 BbgUIG in conjunction with Article 2 UIG; Article 2 BremUIG and Article 1 (2) BremUIG in conjunction with Article 2 UIG; Article 1 (2) HmbUIG in conjunction with Article 2 UIG; Article 2 HUIG; Article 2 LUIG B-W and Article 3 (1) LUIG M-V in conjunction with Article 2 (3) and (4) UIG; Article 2 NUIG in conjunction with Article 2 UIG; Article 1 (2) UIG NRW and Article 2 UIG NRW in conjunction with Article 2 UIG; Article 2 LUIG RPF; Article 3 SächsUIG; Article 1 (3) UIG LSA in conjunction with Article 2 UIG; Article 2 UIG-SH; Article 2 ThürUIG.

<sup>5</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 1 (3) UIG LSA; also Article 3 (1) BayUIG, Article 3 (1) HUIG, Article 2 p. 1 UIG NRW, Article 3 (1) LUIG RPF, Article 4 (1) SächsUIG, Article 3 (1) UIG-SH, Article 3 (1) ThürUIG.

<sup>6</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 3 (2) BayUIG, Article 3 (2) HUIG, Article 3 (2) LUIG RPF, Article 4 (2) SächsUIG, Article 5 (1) UIG-SH, Article 3 (2) ThürUIG.

information requested is already available in the public domain, the authority may draw attention to this fact.

- (b) The time periods stated in Article 4 (2) AC are safeguarded by means of Article 3 (3) UIG<sup>7</sup>, which states that environmental information shall be made available at the latest within one month after the request has been submitted, unless the volume and the complexity of the information justify an extension of this period up to two months after the request.
- (c) Grounds for a refusal of a request for environmental information are conclusively regulated in the exclusions and restrictions provided for, in accordance with Article 4 (3) and (4) AC, in Articles 8 and 9 UIG<sup>8</sup> which shall be interpreted in a restrictive way. Pursuant to Article 8 UIG, these are intended to protect the public interest, notably international relations, national defence or the confidentiality of advice from authorities, ongoing court proceedings, or if disclosure may pose a considerable risk to public security. There are also grounds for refusal if the request is manifestly unreasonable or formulated in too general a manner, concerns material in the course of completion or internal memoranda within the administration, or if the public authority to which the request is addressed does not hold the environmental information requested. The exclusions and restrictions provided for in Article 9 UIG are intended to protect private interests, notably the confidentiality of personal data, intellectual property rights and commercial and industrial secrets (excluding information about emissions). Finally, environmental information that has been passed on without legal obligations to an authority by a private individual third party may not be made accessible without the permission of the third party concerned. It is thus guaranteed in each case that, in accordance with Article 4 (4) AC, requests for access to environmental information may nonetheless succeed despite the existence of a ground for refusal if the public interest in disclosure is overriding or – in the circumstances provided for in Article 9 UIG – the parties concerned have given their

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<sup>7</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 3 (3) BayUIG, Article 3 (3) HUIG, Article 3 (3) LUIG RPF, Article 7 (1) SächsUIG, Article 5 (2) UIG-SH, Article 3 (3) ThürUIG.

<sup>8</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Articles 7 and 8 BayUIG, Articles 7 and 8 HUIG, Articles 8 and 9 LUIG RPF, Articles 5 and 6 SächsUIG, Articles 7 and 8 UIG-SH, Articles 8 and 9 ThürUIG.

permission. To that extent, the respective interests are always weighed up on a case-by-case basis.

- (d) Article 4 (5) AC is taken up in Article 4 (3) UIG<sup>9</sup>. This states that where a public authority does not hold the environmental information requested, this public authority shall, as promptly as possible, inform the applicant of the public authority to which it believes it is possible to apply for the information requested or transfer the request to that authority and inform the applicant accordingly.
- (e) Article 5 (3) UIG<sup>10</sup> guarantees that if there are grounds for the refusal of a request pursuant to Articles 8 and 9 UIG, in compliance with Article 4 (6) AC, if information exempted from disclosure can be separated out without prejudice (e.g. through blacking out), public authorities must make available the remainder of the environmental information that has been requested.
- (f) The provisions on the formalities and time periods for the refusal of requests, set forth in Article 4 (7) AC, are transposed into German law by means of Article 5 (1) UIG<sup>11</sup>, which states that the one- or two-month period established in Article 3 (3), second sentence UIG, shall apply to the refusal of a request for information. Written requests must be dealt with in writing, and at the request of the applicant, the refusal may also be transmitted in electronic form.
- (g) Article 4 (8) AC is transposed for the federal level by means of the Environmental Information Cost Ordinance (*Umweltinformationskostenverordnung – UIGKostV*). This contains, in its annex, a comprehensive list of the costs which may arise in relation to the provision of environmental information, whereby these costs must not be prohibitive and may not exceed a maximum of 500 euros. Hence the inspection of files on site, oral and simple written information (including the provision of a small

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<sup>9</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 4 (3) BayUIG, Article 4 (4) HUIG, Article 4 (3) LUIG RPF, Article 7 (3) SächsUIG, Article 4 (2) UIG-SH, Article 4 (3) ThürUIG.

<sup>10</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 6 (3) BayUIG, Article 6 (3) HUIG, Article 5 (3) LUIG RPF, Article 8 (3) SächsUIG, Article 6 (2) UIG-SH, Article 5 (3) ThürUIG.

<sup>11</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3

number of duplicates), and active information for the public (e.g. via the Internet) are available free of charge. The *Länder* have adopted corresponding legislation.

**Describe any obstacles encountered in the implementation of any of the paragraphs of Article 4.**

The authorities' assessment of whether corporate data constitute commercial and industrial secrets which must be protected, and the balancing in each case of the private interest in confidentiality and the conflicting public interest in disclosure can lead to difficult decisions in individual cases.

**Provide further information on the practical application of the provisions on access to information, e.g. are there any statistics available on the number of requests made, the number of refusals and their reasons?**

No statistics are collected on the number of requests made. Based on practical experience to date, the provisions on access to information have not necessitated any increase in allocations of personnel or resources to the authorities. The number of refusals is relatively small.

**Give relevant web site addresses, if available:**

- Information from the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU) on access to environmental information:  
[http://www.bmu.de/buergerbeteiligungsrechte/zugang\\_zu\\_umweltinformationen/doc/37631.php](http://www.bmu.de/buergerbeteiligungsrechte/zugang_zu_umweltinformationen/doc/37631.php)
- Information from the Federal Environmental Agency (UBA):  
<http://www.umweltbundesamt.de/umweltdaten/index.htm>
- PortalU – Environmental Portal for Germany (joint project of the federal and *Land* environmental authorities):  
<http://www.portalu.de/>
- Information from the Independent Institute for Environmental Concerns (UfU):  
<http://www.umweltinformationsrecht.de/>
- See also the links listed for Article 5.

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UIG NRW, Article 1 (3) UIG LSA; also Article 6 (1) and (2) BayUIG, Article 6 (1) and (2) HUIG, Article 5 (1) LUIG RPF, Article 8 (1) SächsUIG, Article 6 (1) UIG-SH, Article 5 (1) ThürUIG.

## Article 5

### **List legislative, regulatory and other measures that implement the provisions on the collection and dissemination of environmental information in Article 5.**

In Germany, the provisions of the Aarhus Convention on the collection and dissemination of environmental information are also primarily transposed by means of the environmental information acts passed by the Federation and the *Länder*.

- (a) In compliance with Article 5 (1) AC, Article 7 (3) UIG ensures that all the information provided by or for a public authority must be up-to-date, precise and comparable<sup>12</sup>. Pursuant to Article 10 (5) UIG<sup>13</sup>, in the event of any imminent threat to human health or the environment, all information which could enable the public to take measures to prevent or mitigate harm arising from the threat and is held by a public authority must be disseminated immediately and without delay to members of the public who may be affected.
- (b, e, g) Implementing Article 5 (2), (5) and (7) AC, Article 10 (1) UIG<sup>14</sup> states that the public authorities must, actively and systematically, provide sufficient information about the environment to the public. As part of this active duty to furnish information, they are required to disseminate environmental information of relevance to their work and which they hold. Pursuant to Article 10 (2) UIG, this includes, at the least, the texts of international treaties, Community and national legislation, political strategies, plans and programmes of relevance to the environment, and reports about their implementation status, data from the monitoring of activities which could impact on the environment, licensing decisions which have a considerable impact on the

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<sup>12</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 5 (3) BayUIG, Article 5 (3) HUIG, Article 7 (3) LUIG RPF, Article 11 (3) SächsUIG, Article 11 (2) UIG-SH, Article 7 (3) ThürUIG.

<sup>13</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 10 (5) BayUIG, Article 10 (5) HUIG, Article 10 (5) LUIG RPF, Article 12 (4) SächsUIG, Article 12 (3) UIG-SH, Article 10 (5) ThürUIG.

<sup>14</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p. 3 UIG NRW, Article 1 (3) UIG LSA; also Article 10 (1) and (2) BayUIG, Article 10 HUIG, Article 10 LUIG RPF, Article 12 SächsUIG, Article 12 UIG-SH, Article 10 ThürUIG.

environment, and summary reports and environmental impact assessments undertaken pursuant to the UVPG.

- (c) Pursuant to Article 10 (3) UIG<sup>15</sup>, environmental information must be disseminated in a comprehensible manner and in formats which are easily accessible to the general public; to this end, electronic communications should be used where available. The Federal Government and the *Länder* have therefore established a joint environmental portal, known as "PortalU" (web address listed below). This new service provides user-friendly, commercial- and barrier-free access to environmental information held by the federal and *Land* authorities. PortalU currently references more than 180 providers from among the federal and *Land* authorities and offers more than 600,000 indexed web sites. The development of Web-based services for the provision of environmental status and exposure information via a single access portal is becoming an increasing focus of interest in electronic accessibility. The aim is to present professionally evaluated data obtained through the various environmental monitoring programmes in a way which is clear and comprehensible for the public, e.g. so that they can access information showing the outcomes of monitoring of environmental policy measures.
- (d) Pursuant to Article 11 UIG, the Federal Government is required to publish a report on the state of the environment in the federal territory at four-year intervals. The report must contain information about environmental quality and pollution. In parallel, both the federal authorities and the *Länder* provide environmental data on the Internet on an ongoing basis (for web addresses, see below); these information services are developing dynamically at all levels. Some individual *Länder* also produce their own environmental reports.
- (f, h) The provision of information to consumers about the environmental impact of products, pursuant to Article 5 (6) and (8) AC, is safeguarded not only through mandatory product labelling provided for in relevant areas of European and German law, but also through voluntary measures, e.g. various environmental certificates. For example, the "Blue Angel" eco-label is awarded by the German Institute for Quality

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<sup>15</sup> Applicable *mutatis mutandis* in conjunction with Article 3 (1) LUIG B-W, Article 18a IFG Bln, Article 1 BbgUIG, Article 1 (2) BremUIG, Article 1 (2) HmbUIG, Article 3 LUIG M-V, Article 3 p. 2 NUIG, Article 2 p.

Assurance and Labelling in collaboration with various *Länder* and the UBA. The *Bio-Siegel* eco-label can be used on a voluntary basis for all non-processed agricultural products and agricultural products intended for human consumption falling under the scope of Council Regulation (EEC) No 2092/91. It is currently used by 2373 companies for 40,501 products (as at August 2007). The Federal Agency for Agriculture and Food is the authority responsible for dealing with registrations for the scheme, which is monitored through the system of public and private controls. Regulation (EC) No 761/2001 not only encourages voluntary participation by organizations in a Community eco-management and audit scheme (EMAS) but also promotes the publication of environmental data, including for the production process.

- (i) In accordance with Article 5 (9) AC, emissions data in Germany are already collected within the framework of the European Pollutant Emission Register (EPER). The German EPER data are also directly accessible on the Internet. At present, the pollutant emission registers at both European and at national level are being restructured in line with the provisions of the Protocol on Pollutant Release and Transfer Registers (PRTR Protocol) of 21 May 2003. Germany implemented the PRTR Protocol by means of the ratification law of 13 April 2007 and the implementing act of 6 June 2007, which contains the necessary provisions both for the establishment and maintenance of a national PRTR and for the implementation of Regulation (EC) No 166/2006 concerning the establishment of a European Pollutant Release and Transfer Register. With the ratification, which took place on 28 August 2007, Germany became the fifth Party to the PRTR Protocol. With the aid of the PRTR Protocol, citizens are given the opportunity to obtain quick and easy access to environmental data relating to an industrial facility, e.g. in their neighbourhood, via the Internet. The PRTR Newsletter provides information about the current conditions and substantive aspects of PRTR implementation in the European Union and Germany. It is published 3-4 times annually and subscriptions to the Newsletter are free. Furthermore, in accordance with the EU Emissions Trading Directive, CO<sub>2</sub> emissions data are collected annually from approx. 1850 installations in the energy sector and emissions-intensive industry which produce around 50% of Germany's CO<sub>2</sub> emissions. The data are published both for the individual installations and in the form of summary reports and are disseminated through active press and publicity work and direct mails to interested professionals.

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3 UIG NRW, Article 1 (3) UIG LSA; also Article 10 (3) BayUIG, Article 10 HUIG, Article 10 LUIG RPF,



**Describe any obstacles encountered in the implementation of any of the paragraphs of Article 5.**

**Provide further information on the practical application of the provisions on the collection and dissemination of environmental information in Article 5, e.g. are there any statistics available on the information published?**

As regards the current figures on visitors to the PortalU web site, there are around 5 million hits on the PortalU site annually (June 2006 – May 2007) with 1.7 million page impressions and around 110,000 qualified inquiries.

**Give relevant web site addresses, if available:**

Information from the federal authorities:

- PortalU – Environmental Portal for Germany (joint project of the federal and *Land* environmental authorities): <http://www.portalu.de/>
- Joint home page of the PRTR and EPER in Germany: <http://www.prtr.de/>
- PRTR Newsletter available at: <http://home.prtr.de/index.php?pos=newsletter/>
- Joint Substance Data Pool Federation/*Länder* (GSBL): <http://www.gsbl.de>
- Web service for the Dioxins Database of the Federation and the *Länder*:  
<http://www.pop-dioxindb.de/index.html>
- Federal Environmental Specimen Bank: <http://umweltprobenbank.de>
- UDO – Online environmental data from the UBA:  
<http://www.env-it.de/umweltdaten/public/theme.do;?nodeIdent=2700>
- Specialist database of the Federation and the *Länder* on implementation of the Stockholm Convention on Persistent Organic Pollutants (POPs): <http://www.pop-dioxindb.de/index.html>
- Geographic Information System for the Environment (GISU):  
<http://osiris.uba.de:8081/gisu/start>
- Information from the UBA on emissions trading, including annual CO<sub>2</sub> emissions of participating companies: <http://www.umweltbundesamt.de/emissionshandel>
- Information on the EMAS Regulation: [http://www.bmu.de/wirtschaft\\_und\\_umwelt/emas/doc/2087.php](http://www.bmu.de/wirtschaft_und_umwelt/emas/doc/2087.php)

- The Federal Government's Environmental Report 2006:  
[http://www.bmu.de/files/pdfs/allgemein/application/pdf/broschuere\\_umweltbericht2006.pdf](http://www.bmu.de/files/pdfs/allgemein/application/pdf/broschuere_umweltbericht2006.pdf)  
[http://www.bmu.de/files/pdfs/allgemein/application/pdf/broschuere\\_umweltbericht2006\\_en.pdf](http://www.bmu.de/files/pdfs/allgemein/application/pdf/broschuere_umweltbericht2006_en.pdf)
- National dialogue process on sustainable consumption and. production patterns:  
<http://www.dialogprozess-konsum.de>
- Federal Institute for Risk Assessment (BfR):  
<http://www.bfr.bund.de>
- Federal Railway Office (EBA):  
[www.eba.bund.de/aktuelles/umwelt/umwelt.htm](http://www.eba.bund.de/aktuelles/umwelt/umwelt.htm)
- German Meteorological Service (DWD):  
<http://www.dwd.de/de/WundK/Umweltinformationen/index.htm>),
- Federal Maritime and Hydrographic Agency (BSH):  
[http://www.bsh.de/Vorlagen/ressources/nav\\_de/navigation2.jsp](http://www.bsh.de/Vorlagen/ressources/nav_de/navigation2.jsp)
- German Federal Ministry of Health (BMG): Information on environment and health:  
[http://www.bmg.bund.de/cln\\_041/nn\\_604238/DE/Themenschwerpunkte/Gesundheit/Umwelt-und-Gesundheit/umwelt-und-gesundheit-node.param=.html\\_\\_nnn=true](http://www.bmg.bund.de/cln_041/nn_604238/DE/Themenschwerpunkte/Gesundheit/Umwelt-und-Gesundheit/umwelt-und-gesundheit-node.param=.html__nnn=true)

*Information from the Länder:*

*Baden-Württemberg*

- Baden-Württemberg Environmental Portal: <http://www.umwelt-bw.de/servlet/is/811/>
- Baden-Württemberg Environmental Data Catalogue:  
<http://www2.lfu.baden-wuerttemberg.de/wwwudk/UDKServlet>

*Bavaria*

- Environmental Object Catalogue for Bavaria: <http://www.uok.bayern.de/>

*Berlin*

- Berlin Digital Environmental Atlas:  
<http://www.stadtentwicklung.berlin.de/umwelt/umweltatlas/>
- Environmental information from the Senate Department for Urban Development:  
<http://www.stadtentwicklung.berlin.de/service/de/umweltinformationen.shtml>
- Senate Department for Health, Environment and Consumer Protection:  
<http://www.berlin.de/sen/umwelt/index.shtml>

*Brandenburg*

- Brandenburg Agricultural and Environmental Information System: <http://www.luis-bb.de/>

*Bremen*

- Bremen Environmental Information System: <http://www.umwelt.bremen.de/>

#### *Hamburg*

- Hamburg Environmental Information System:  
[http://fhh.hamburg.de/stadt/Aktuell/behoerden/  
stadtentwicklung-umwelt/umwelt/weitere-infos/umweltinformationssystem/stArtikelhtml](http://fhh.hamburg.de/stadt/Aktuell/behoerden/stadtentwicklung-umwelt/umwelt/weitere-infos/umweltinformationssystem/stArtikelhtml)
- Hamburg Metadata Catalogue: <http://www.hmdk.de/>

#### *Hesse*

- Hessian Environmental Atlas: <http://atlas.umwelt.hessen.de/atlas/>
- Hessian Environmental Portal: <http://www.umwelt.hessen.de/>
- List of environmental information actively disseminated pursuant to Article 10 HUIG:  
<http://www.hmuly.hessen.de/umwelt/Rechtsvorschriften>

#### *Lower Saxony*

- Environmental Data Catalogue for Lower Saxony: <http://www.udk.niedersachsen.de>

#### *Mecklenburg-Western Pomerania*

- State Office for the Environment, Nature Conservation and Geology: <http://www.lung.mv-regierung.de/>

#### *North Rhine-Westphalia*

- Environmental Data Catalogue for North Rhine-Westphalia:  
<http://www.udk.munlv.nrw.de/wwwudk/UDKServlet>

#### *Rhineland-Palatinate*

- Environmental Data Catalogue for Rhineland-Palatinate:  
<http://www.udk.rlp.de/wwwudk/UDKServlet>

#### *Saarland*

- Environmental Data Catalogue for Saarland: <http://www.udk.saarland.de/>

#### *Saxony*

- Environmental Data Catalogue for Saxony:  
<http://www.umwelt.sachsen.de/de/wu/umwelt/lfug/lfug-internet/infosysteme/wwwudk/servlet/UDKServlet>

#### *Saxony-Anhalt*

- Environmental Information Network for Saxony-Anhalt: <http://www.umwelt.sachsen-anhalt.de>
- Environmental Data Catalogue for Saxony-Anhalt: <http://www.udk.sachsen-anhalt.de/wwwudk/UDKServlet>

#### *Schleswig-Holstein*

- Environmental Data Catalogue for Schleswig-Holstein:

<http://www.umweltdaten.landsh.de/wwwudk/>

[UDKServlet](#)

*Thuringia*

- Environmental Data Catalogue for Thuringia: <http://www.udk.thueringen.de/wwwudk/UDKServlet>

*Other information:*

- "Blue Angel"eco-label: <http://www.blauer-engel.de>
- *Bio-Siegel* eco-label: <http://www.bio-siegel.de/>

## **Article 6**

### **List legislative, regulatory and other measures that implement the provisions on public participation in decisions on specific activities in Article 6.**

Public participation in decisions on specific activities in Article 6 AC was by traditional already regulated on a broad basis in German law, so that in terms of the implementation of the provisions of the Aarhus Convention and Directive 2003/35/EC, only minor adjustments were required through the Act on Public Participation in Environmental Matters pursuant to EC Directive 2003/35/EC (Public Participation Act) of 9 December 2006. It should also be noted in this context that Germany has been a Party to the UNECE Convention of 25 February 1991 on Environmental Impact Assessment in a Transboundary Context – the "Espoo (EIA) Convention" – since 2002.

(a)

- (i) Under German law, many of the activities listed in Annex I of the Aarhus Convention are subject to the licensing procedure under Article 10 of the Federal Immission Control Act (*Bundes-Immissionsschutzgesetz* – BImSchG), elaborated in the Ninth Ordinance implementing the Federal Immission Control Act (*Neunte Verordnung zur Durchführung des Bundes-Immissionsschutzgesetzes* – 9. BImSchV). This procedure safeguards the participation of the affected public in accordance with the provisions of Article 6 AC.

For activities subject to nuclear energy legislation, the same applies accordingly pursuant to Article 7 of the Atomic Energy Act in conjunction with the Nuclear Licensing Procedure Ordinance.

Major planning and infrastructural projects, such as the construction of airports, railway lines, motorways, express roads, waterways, ports, landfill sites and pipeline systems, are subject to the so-called "plan establishment procedure", in which intensive public consultation is also prescribed as mandatory (cf. Article 73 of the federal Administrative Procedure Act (*Verwaltungsverfahrensgesetz – VwVfG*)). In some cases, the *Länder* also provide for the legal concept of "plan establishment" for infrastructural projects which fall within their regulatory competence (e.g. Article 64 of the Water Act of Baden-Württemberg). The Building Code (*Baugesetzbuch – BauGB*) also provides for public consultation during the establishment of all area development plans (Articles 3 and 4a BauGB).

Besides these specialized laws, the Federal Environmental Impact Assessment Act [*Gesetz über die Umweltverträglichkeitsprüfung – UVPG*] provides for a public consultation process in the licensing of activities with substantial environmental impacts, which include activities listed in Annex I AC. Here, the UVPG sets a minimum standard which must always be met if the provisions of specialized law lag behind the requirements of the UVPG. Within their jurisdiction, the *Länder* have adopted corresponding regulations to those contained in the UVPG at federal level<sup>16</sup>.

- (ii) Both the Annex to the Fourth Ordinance implementing the Federal Immission Control Act (*Vierte Verordnung zur Durchführung des Bundes-Immissionsschutzgesetzes – 4. BImSchV*) and Annex I of the UVPG include a list of activities for which approval and/or environmental impact assessments are mandatory and which are not included in Annex I AC. They are also subject to the procedure set forth in Article 10 BImSchG in conjunction with the Ninth Ordinance implementing the Federal Immission Control Act or Article 5 ff. UVPG, as appropriate.

- (b) The consultation process is fleshed out in more detail in, for example, Article 10 (3) and (4) BImSchG in conjunction with Articles 8-12 of the Ninth Ordinance implementing the

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<sup>16</sup> For example, Article 1 UVPG Bln; Article 2 (3) BbgUVPG; Article 4 BremUVPG; Article 1 (1) HmbUVPG; Article 5 (1) LUVPG M-V; Article 5 NUVPG; Article 1 (1) UVPG NW; Article 4 (3) SächsUVPG, Article 4 ThürUVPG.

Federal Immission Control Act, and in Article 9 UVPG, which makes reference to Article 73 VwVfG. The process is illustrated as follows with reference to these norms. The competent authority must first give public notice of the project in the area where the installation is to be constructed (see, for example, Article 10 (3), first sentence BImSchG in conjunction with Article 8 (1), first sentence of the Ninth Ordinance implementing the Federal Immission Control Act, and Article 9 (1a) UVPG). In this notice, the public must be provided, in particular, with the following information: details of the application and the project, the type of possible decision, the competent authority, the procedure envisaged, and details of the time period for public discussion and deadlines for the lodging of objections, and on transboundary consultation among the authorities and the public (see Article 9 (1) of the Ninth Ordinance implementing the Federal Immission Control Act, and Article 9 (1a) and (1b) UVPG).

- (c) Under the German legislation, the application and the supporting documents must be laid open for public inspection for a period of at least one month following such notice; any objections raised against the project may be lodged in writing with the competent authority within two weeks after expiry of the inspection period.
- (d) Under the German legislation, the public consultation process must be initiated, at the latest, once the competent authority takes the view that the project application documents are complete. For projects which require an environmental impact assessment (EIA), this must also include a non-technical summary description of the documents. This ensures that the public has an adequate basis for effective consultation. At this point in time, no decision will have been taken by the competent authority on the project's eligibility for approval. For projects which require an EIA, there is also the option, at this early stage, for the competent authority to call in third parties – which may also include members of the public – to attend the scoping meeting at which the scope of the EIA will be determined.
- (e) A major contribution to the implementation of Article 6 (5) AC has been made by the German IMPEL project on "Informal resolution of environmental conflicts by neighbourhood dialogue" (for link, see below), whose purpose is to share positive practical experience on the use of mediation processes as a voluntary conflict resolution instrument for industry to deal with neighbourhood complaints. It should

also be noted that there is no need to identify the "public concerned" when German law provides for procedures which allow universal participation.

- (f) The requirements concerning the documents which must be laid out pursuant to Article 6 (6) AC have been incorporated, for example, into Article 4a of the Ninth Ordinance implementing the Federal Immission Control Act and Article 6 UVPG.
- (g) Under German law, the public has the opportunity to lodge written objections to the project with the competent authority.
- (h) After the establishment of the requisite facts and participation of all actors, the authority must, on the basis of the overall findings of the administrative procedure, including the result of the public consultation, take a final decision. Appropriate consideration of the outcome of the public consultation process in the authority's decision is safeguarded, e.g. in relation to projects subject to the licensing procedure under immission control law, by Article 20 of the Ninth Ordinance implementing the Federal Immission Control Act, and otherwise by Article 11, first sentence and Article 12 UVPG. The competent authority prepares *inter alia* a summary description and evaluation of the environmental impacts of the project, taking account of the opinions presented by the public, and these in turn must be considered in the project approval decision in the interests of effective environmental protection.
- (i) The public must be informed, by means of public notice, of the approval or rejection of a project application. The decision is laid open for public inspection, with reasons for the decision being stated (see, for example, Article 21a of the Ninth Ordinance implementing the Federal Immission Control Act, and Article 9 (2) UVPG).
- (j) The competent authorities, according to the environmental laws applicable to them, must supervise compliance with the relevant legislation and review any licences granted at regular intervals (see, for example, Article 52 (1) BImSchG). If necessary, the plant operator may be issued with a subsequent order requiring him to upgrade his system. Article 17 (1a) BImSchG regulates public participation in the event of subsequent orders that replace a licence.

(k) The public is also consulted on decisions on the deliberate release of genetically modified organisms (GMOs) into the environment: Article 18 (2) of the Genetic Engineering Act (*Gentechnikgesetz* – GenTG) establishes a consultation procedure, if an authorization procedure pursuant to Article 10 of the Federal Immission Control Act would be necessary, unless a simplified procedure is held when the experience gathered in the release of genetically modified organisms is sufficient to guarantee protection.

The content of the documents to be laid out is defined in the Genetic Engineering Consultation Ordinance (*Gentechnik-Anhörungsverordnung*). The competent authorities must supervise the implementation of the Genetic Engineering Act (Article 25 GenTG) and may, in individual instances, give the orders necessary to eliminate established offences or to prevent future ones against this Act (Article 26 (1) GenTG). Pursuant to Article 28a GenTG, the public must be informed of these ordinances. The current German legislation on genetic engineering already complies with the provisions of the first amendment to the Aarhus Convention (the "Almaty Amendment"). The legislative procedure for the ratification of the Almaty Amendment is due to be completed in early 2008.

**Describe any obstacles encountered in the implementation of any of the paragraphs of Article 6.**

**Provide further information on the practical application of the provisions on public participation in decisions on specific activities in Article 6, e.g. are there any statistics or other information available on public participation in decisions on specific activities or on decisions not to apply the provisions of this article to proposed activities serving national defence purposes.**

Under the UVPG, which entered into force in 1990, the obligation to carry out an EIA applies in principle to defence activities as well. In accordance with Article 6 (1c) AC, however, it may be decided, on a case-by-case basis, not to apply the provisions relating to EIA or public participation to proposed activities serving national defence purposes, if compelling grounds of defence or if the fulfilment of international commitments so require. The opportunity to restrict public participation for the purposes of national defence has only been utilized in two EIA cases since 1990.



**Give relevant web site addresses, if available:**

- Information from the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU):  
<http://www.bmu.de/buergerbeteiligungsrechte/aktuell/aktuell/1183.php>  
<http://www.bmu.de/umweltvertraeglichkeitspruefung/aktuell/aktuell/6364.php>
- Information from the Federal Environmental Agency (UBA):  
<http://www.umweltbundesamt.de/umweltrecht/oeffentlichkeitsbeteiligung.htm>
- IMPEL project "Informal resolution of environmental conflicts by neighbourhood dialogue":  
[http://www.bmu.de/files/buergerbeteiligungsrechte/downloads/application/pdf/umweltkonflikte\\_imdialogloesen.pdf](http://www.bmu.de/files/buergerbeteiligungsrechte/downloads/application/pdf/umweltkonflikte_imdialogloesen.pdf)  
<http://ec.europa.eu/environment/impel/workgroups.htm#3>
- Information from the German Society for Nature Conservation:  
[http://www.nabu.de/m06/m06\\_02/04053.html](http://www.nabu.de/m06/m06_02/04053.html)
- Information on genetic engineering from the Federal Agency for Consumer Protection and Food Safety:  
[http://www.bvl.bund.de/cln\\_027/mn\\_495478/DE/06\\_Gentechnik/gentechnik\\_node.html\\_nnn=true](http://www.bvl.bund.de/cln_027/mn_495478/DE/06_Gentechnik/gentechnik_node.html_nnn=true)
- General and specific information on genetically modified organisms:  
<http://www.transgen.de>

**Article 7**

**List the appropriate practical and/or other provisions made for the public to participate during the preparation of plans and programmes relating to the environment.**

The participation of the public during the preparation of plans and programmes relating to the environment was legally safeguarded through the transposition into national law of European Directives 2001/42/EC and 2003/35/EC, which *inter alia* bring European law into line with the provisions of the Aarhus Convention on public participation in decision-making processes in environmental matters. At federal level, transposition took place through the enactment of the following legislation:

- Act to introduce a Strategic Environmental Assessment and to implement the Directive 2001/42/EC (*Gesetz zur Einführung einer Strategischen Umweltprüfung und zur Umsetzung der Richtlinie 2001/42/EG – SUPG*) of 25 June 2005. Through this legislation, the provisions on strategic environmental assessment (SEA), including those dealing with public consultation, and a list of plans and programmes for which SEA is mandatory, were integrated into the existing UVPG.
- Act adapting the Federal Building Code to EU Directives (*Gesetz zur Anpassung des Baugesetzbuchs an EU-Richtlinien – EAG Bau*) of 24 June 2004, which, by adapting the existing rules on public consultation, implemented the SEA Directive in the sphere of area development planning.
- Act on Public Participation of 9 December 2006. This introduced public participation for certain plans and programmes under EC law which do not already require an SEA in every case under the SEA Directive, such as air quality plans, the Federal Government's battery programmes, action programmes under Directive 91/676/EEC and waste management plans.

*Land* law contains corresponding provisions for plans and programmes undertaken at *Land* level.

Under the SEA provisions in the UVPG, public consultation is undertaken in a manner similar to that applying to environmental impact assessments (EIAs) (Article 14i UVPG makes reference to Article 9 UVPG); the same applies to transboundary public consultation (Article 14j UVPG makes reference to Article 9a UVPG).

By means of a public notice, the public must first of all be provided with relevant information on the consultation process in conformity with the provisions of Article 6 (2) AC. Furthermore, the draft plan or programme, environmental report and other relevant documents must be laid open for public inspection from an early stage for an appropriate period of no less than one month following such notice (Article 14i (2) UVPG). The places for the laying open of the information must be determined in a way which ensures effective participation by the public concerned. The public concerned has the opportunity, within an appropriate period of no less than one month, to state its views. This ensures that the public affected or likely to be affected by the decision process, or which has an interest in the decision process, can look in detail at the plans and express a view at an early stage in the process. The outcome of this public consultation process must be given due consideration in the further procedure to establish or amend the plan or programme (Article 14k UVPG).

A similar procedure is envisaged for plans and programmes which fall within the scope of the Act on Public Participation, and for area development plans alongside the formal public

consultation process (laying out of documents for public inspection). Under the Building Code (BauGB), too, the public must generally be consulted at an early stage; among other things, the public must be informed of the general objectives, purposes and likely impacts of the plans and given an opportunity for the expression of views and discussion (Article 3 BauGB).

In this context, it should be noted that since February 2007, Germany has also been a Party to the Protocol on Strategic Environmental Assessment (SEA Protocol) of 21 May 2003. Article 14 of the EU Water Framework Directive should also be mentioned; this also provides for active public information and consultation and is elaborated in the relevant implementing provisions in the Water Acts adopted by the *Länder*.

**Explain what opportunities there are for public participation in the preparation of policies relating to the environment.**

In Germany, the preparation of policies relating to the environment, in the sense of political programmes or strategies, is not undertaken in accordance with a specific procedure in which the public could participate; stakeholders are involved in policy formulation as appropriate. However, in the preparation of legislative procedures by the Federal or *Land* Governments which are intended to enshrine the policies in law, there is scope for representatives of the public with appropriate expertise, notably the associations, to voice their opinions and discuss the draft legislation with the competent authority. Norms governing this consultation requirement are enshrined in the Joint Rules of Procedure of the Federal Ministries, for example. In addition, the draft legislation is often made available on the Internet for the purposes of public information even at this early stage. The same procedure also applies to the enactment of statutory ordinances. In some cases, the law prescribes consultation with the groups concerned as mandatory (see also comments on Article 8).

**Describe any obstacles encountered in the implementation of Article 7.**

**Provide further information on the practical application of the provisions on public participation in decisions on specific activities in Article 7.**

To support the implementation of the above-mentioned provisions of the UVPG, a research project is currently under way with the aim of developing guidelines on strategic environmental assessment. These guidelines are intended to help ensure that the assessment process, including public consultation, is substantive and is conducted effectively.

For certain types of plans and programmes, e.g. area development planning, a number of research projects have already been carried out and guidelines produced. A selection is available on the following web sites.

**Give relevant web site addresses, if available:**

- Information from the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU):  
<http://www.bmu.de/umweltvertraeglichkeitspruefung/kurzinfo/doc/6361.php>
- Information from the Federal Environmental Agency (UBA):  
<http://www.umweltbundesamt.de/umweltrecht/oeffentlichkeitsbeteiligung.htm>
- Web pages of the Association for the Assessment of Environmental Impacts (German EIA Association), which has also established a Working Group on Strategic Environmental Assessment:  
<http://www.uvp.de/>
- Guidelines of *Land* Mecklenburg-Western Pomerania for Municipalities, Planners and the Authorities, as well as for the Public, on Implementing Environmental Impact Assessments in Area Development Planning:  
[http://www.vm.mv-regierung.de/arbmdoku/PR\\_inhalt\\_Umweltpruefung.pdf](http://www.vm.mv-regierung.de/arbmdoku/PR_inhalt_Umweltpruefung.pdf)
- Strategic Environmental Assessment (SEA) in local transport development planning. The outcome of this research project, undertaken by the Federal Office for Building and Regional Planning, is a set of guidelines produced in 2006 which also deals with public consultation:  
[http://www.bbr.bund.de/nm\\_21690/DE/Forschungsprogramme/FOPS/Projekte/SUP\\_\\_im\\_\\_V\\_EP/03\\_\\_Ergebnisse.html](http://www.bbr.bund.de/nm_21690/DE/Forschungsprogramme/FOPS/Projekte/SUP__im__V_EP/03__Ergebnisse.html)
- Research project of the Federal Office for Building and Regional Planning on public consultation in programmes and plans in the sphere of regional planning (2002):  
[http://www.bbr.bund.de/cln\\_007/nm\\_21942/DE/Forschungsprogramme/AllgemeineRessortforschung/BereichRaumordnung/OeffentlichkeitsbeteiligungRaumordnung/01\\_\\_Start.html](http://www.bbr.bund.de/cln_007/nm_21942/DE/Forschungsprogramme/AllgemeineRessortforschung/BereichRaumordnung/OeffentlichkeitsbeteiligungRaumordnung/01__Start.html)
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**Article 8**

**Describe what efforts are made to promote effective public participation during the preparation by public authorities of executive regulations and other generally applicable legally binding rules that may have a significant effect on the environment.**

German environmental law provides for broad stakeholder participation prior to the adoption of sub-legislative regulatory provisions. The stakeholder groups concerned (in particular, representatives – to be selected by the authorities – of the scientific community, environmental groups and other affected persons and participating businesses) are regularly consulted before the adoption of executive regulations; see, for example, Articles 4 and 51 BImSchG, Article 21 (4) UVPG, Articles 5 and 20 of the Federal Soil Conservation Act (*Bundes-Bodenschutzgesetz – BBodSchG*), Articles 3 and 60 of the Closed Substance Cycle and Waste Management Act (*Kreislaufwirtschafts- und Abfallgesetz – KrW-/ AbfG*), and Article 17 of the Chemicals Act (*Chemikaliengesetz – ChemG*).

As regards the preparation of draft legislation, in general, the Joint Rules of Procedure of the Federal Ministries provide for consultation with associations during the preparation of drafts, as an element of regulatory impact assessment. In parallel, draft legislation is increasingly being published on the Internet and the opportunity to comment offered. The same applies accordingly for the *Land* level.

In some cases, German law also allows the "general" public to participate in processes leading to the enactment of sub-legislative regulations. Such opportunities exist, for example, under *Land* nature conservation law in the designation of conservation areas<sup>17</sup>, under *Land* water law in the designation of water conservation areas, and in some cases in relation to other protected areas as well<sup>18</sup>.

**Describe any obstacles encountered in the implementation of Article 8.**

**Provide further information on the practical application of the provisions on public participation in the field covered by Article 8.**

**Give relevant web site addresses, if available:**

- Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU):  
[http://www.bmu.de/gesetze\\_verordnungen/aktuell/aktuell/1252.php](http://www.bmu.de/gesetze_verordnungen/aktuell/aktuell/1252.php)

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<sup>17</sup> See, for example, Article 74 (2) NatSchG B-W, Article 28 (2) BbgNatSchG, Article 30 (2) LNatG M-V.

## Article 9

### **List legislative, regulatory and other measures that implement the provisions on access to justice in Article 9.**

In Germany, pursuant to 19 (4) of the Basic Law (GG), should any person's rights be violated by public authority, that person may have recourse to the independent courts. The relevant procedure is determined primarily by the Code of Administrative Court Procedure (*Verwaltungsgerichtsordnung – VwGO*).

(a)

- (i) At federal level, Article 6 UIG<sup>19</sup> transposed Directive 2003/4/EC on public access to environmental information, which in turn integrated Article 9 (1) AC into European law. Article 6 (1) of the Environmental Information Act (UIG) adopted at federal level provides for access to the administrative courts in the event of disputes under the UIG. Similar legal provisions have been adopted by the *Länder*.
- (ii) With regard to the additional opportunity, provided for in Article 9 (1), subpara. 2 AC, of access to an expeditious review procedure established by law that is free of charge or inexpensive, Article 6 UIG differentiates as to whether the body required to furnish the information is a public authority or a person in private law. In the event of a refusal of a request for information by a public authority, there is the opportunity to undertake a preliminary administrative procedure of objection (*verwaltungsinternes Widerspruchsverfahren*) under Article 68 ff. VwGO. This ensures that the matter is reviewed by a separate body, namely the "objection authority" which is responsible for dealing with the objection, or in cases in which the refusal comes from a supreme or higher authority, by this authority itself. In the event of a refusal by a person under private law who is required to furnish information, the applicant may, pursuant to Article 6 (3) and (4) UIG, request a review of the refusal by the authority required to furnish the information.
- (iii) Article 121 (1), no. 1 VwGO expressly enshrines in statute the binding effect of final judgments on the parties, which therefore also includes the authority which is the subject of the complaint. In any case, in accordance with the rule-of-law

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<sup>18</sup> See, for example, Article 85 (3) BayWG, Article 122 (2) LWaG M-V, Article 130 (2) SächsWG.

<sup>19</sup> Article 4 LUIG B-W; Article 9 BayUIG; Article 3 BbgUIG; Article 1 HmbUIG in conjunction with UIG; Article 9 HUIG; Article 4 UIG M-V; Article 4 NUIG; Article 3 UIG NRW; Article 6 LUIG RPF; Article 9 SächsUIG; Article 2 UIG LSA; Article 10 UIG SH; Article 6 ThürUIG.

principle enshrined in Article 20 (3) of the Basic Law (GG), the executive is bound by law and justice.

Under Article 117 (1), second sentence VwGO, judgments by the administrative courts must be made in writing. If a request for environmental information is refused by the authority required to furnish such information, the refusal must then be made in writing if the request was made in writing or if the applicant so requests (Article 5 (2) UIG).

- (b) In accordance with the Basic Law (GG), anyone who can assert that his rights have been violated by the decision of a public agency may have recourse to the courts; Article 42 (2) VwGO.

In parallel, the nature conservation legislation adopted at federal and *Land* level has long provided for more far-reaching opportunities for nature conservation associations to lodge complaints. Pursuant to Article 61 (1) of the Federal Nature Conservation Act (BNatSchG), without having been subject to any violation of their rights, such associations may lodge a legal remedy in conformity with the Code of Administrative Court Procedure (*Verwaltungsgerichtsordnung*) against exemptions from prohibitions and orders relating to the protection of nature conservation areas (*Naturschutzgebiete*), national parks (*Nationalparke*) and other protected areas referred to in Article 33 (2) BNatSchG as well as against decisions of plan establishment procedures relating to projects involving intervention in nature and landscape as well as plan approvals where the involvement of the general public has been provided for in relevant provisions. The prerequisite is formal recognition of the association by the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU) in accordance with Article 59 or by the *Länder* under Article 60 of the Federal Nature Conservation Act. Corresponding provisions on the legal remedies available to associations exist at *Land* level<sup>20</sup>.

Article 9 (2) of the Aarhus Convention and the provisions of Directive 2003/35/EC were enacted in German law by means of the Environmental Appeals Act (*Umwelt-Rechtsbehelfsgesetz – UmwRG*) of 7 December 2006. Pursuant to Article 2 (1) UmwRG, domestic and foreign associations that are recognised pursuant to Article 3 UmwRG may, without needing to claim that their own rights have been violated, seek remedy under the

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<sup>20</sup> Article 39b NatSchGBIn; Article 44 BremNatSchG; Article 39 (7) BbgVerf; Article 41 HmbNatSchG; Article 35 (2) HENatG; Article 65a LNatG M-V; Article 60c NNatG in conjunction with BNatSchG; Article 58 SächsNatSchG; Article 51c LNatSchG SH in conjunction with BNatSchG; Article 46 ThürNatG. In parallel, the binding federal regulations apply in all *Länder*.

Code of Administrative Court Procedure if the following conditions apply: the association must demonstrate that

- (1) the contested decision by the public agency violates statutory provisions that protect the environment, establish individual rights, and could be of importance for the decision,
- (2) it is affected by the decision with regard to its field of activity as defined in its bylaws that serve the objectives of environmental protection and
- (3) it was entitled to participate in a procedure under Article 1 (1) of the *Umwelt-Rechtsbehelfsgesetz* and expressed itself in that matter according to the applicable statutory provisions or, contrary to the applicable statutory provisions, was not given an opportunity to express itself.

Pursuant to its Article 1 (1), the *Umwelt-Rechtsbehelfsgesetz* applies to all legal remedies against decisions enumerated there<sup>21</sup> and thus covers, or partially extends beyond, all activities listed under Annex I of the Aarhus Convention. Furthermore, Article 1 (1) UmwRG allows appeals also in the event that, contrary to applicable statutory provisions, no decision has been taken on a project which has been implemented or is ongoing.

An association may on principle only have recourse to the courts if it has been recognised. Recognition is pronounced by the Federal Environmental Agency (UBA) provided that the legal criteria for recognition are fulfilled (see Article 3 UmwRG). Special rules apply to foreign environmental associations in particular, which in individual cases may have recourse to the courts without formal recognition having been granted.

- (c) Germany, in accordance with Article 9 (3) AC, has a whole package of effective mechanisms available through civil, criminal and administrative law enabling individuals and associations of individuals to enforce compliance with the environmental provisions of German law and to petition against any violations of such provisions by public agencies or private persons.

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<sup>21</sup> The scope of application of the Environmental Appeals Act (UmwRG), defined in Article 1, encompasses: Decisions as defined in Article 2 (3) of the Federal Environmental Impact Assessment Act (*Gesetz über die Umweltverträglichkeitsprüfung – UVPG*) concerning the admissibility of projects for which there may be an obligation to conduct an environmental impact assessment pursuant to the Federal EIA Act, the Ordinance on the Assessment of Environmental Impacts of Mining Projects [*Verordnung über die Umweltverträglichkeitsprüfung bergbaulicher Vorhaben*] or statutory provisions of the German states [*Länder*]; and permits for installations requiring a permit according to Column 1 of the Annex to the Fourth Ordinance implementing the Federal Immission Control Act (Ordinance on Installations Requiring a Permit – 4. BImSchV), decisions pursuant to Article 17 (1a) of the Federal Immission Control Act [*Bundes-Immissionsschutzgesetz*], permissions pursuant to Article 2 and the first sentence of Article 7 (1) of the Federal Water Act [*Wasserhaushaltsgesetz*] in conjunction with statutory provisions of the *Länder* that were adopted on the basis of the third sentence of Article 7 (1) of the Federal Water Act, and planning approval notices [*Planfeststellungsbeschlüsse*] for landfills pursuant to Article 31 (2) of the Closed Substance Cycle and Waste Management Act [*Kreislaufwirtschaft- und Abfallgesetz*]. These provisions cover and in some cases extend beyond the activities listed in Annex 1 AC.



Civil law establishes the right to sue against third parties in the civil courts in order to obtain suspensory or prohibitory action or compensation for damages when such legal rights of third parties as enjoy absolute protection are impaired, including by a violation of environmental provisions intended to protect those concerned.

Criminal law contains a number of provisions to protect the environment which penalize impairments of the environmental media (water, soil, air, also flora and fauna).

Anyone who can assert that his rights have been violated by the decision of a public agency or the failure of a public agency to act (and in some cases this may include associations) may seek remedy in the administrative courts. This also applies if a public agency omits to take measures against third parties who violate environmental rules.

In Germany, the protection of subjective rights provides a framework for censuring the infringement of any rules designed either exclusively or – alongside a public interest that is pursued – additionally to protect individual interests. Under immission protection legislation, for example, anyone whose health is affected by the harmful environmental impact of an installation may claim that there has been a violation of the rules designed to protect him. For associations there are, in addition to this, other remedies before the courts which do not require a claim that one's own rights have been violated, as for example under the scope of Article 9 (3) AC in the fields of nature protection and environmental damage in the meaning of Directive 2004/35/EC.

Moreover, everyone has the option of reporting violations of environmental law by private persons to the environmental authority; German law on administrative procedure provides that the environmental authority must then *proprio motu* decide what action to take.

Finally, the right of petition enshrined in Article 17 of the Basic Law ensures that anyone may at any time address written requests or complaints to the competent authorities and to the legislature.

Furthermore, in Germany – as in all other EU Member States – every individual and every environmental/nature conservation association can make a complaint to the European Commission, in its role as guardian of compliance with European law, if he takes the view that the authorities of a Member State have violated environmental legislation, which is substantially influenced by EU law.

(d)

- (i) The provisions of the Code of Civil Procedure (*Zivilprozessordnung* – ZPO) and the VwGO guarantee effective access to justice. In administrative proceedings, if the complaint is found to be justified, the authority's contested decision is revoked,

or the authority concerned is required to review the matter taking account of the court's legal opinion, or to undertake the measure petitioned for by the complainant. There are means available for the compulsory enforcement of legal rulings.

- (ii) The costs of administrative court proceedings in environmental matters are as a rule not determined according to the full economic interest in the authorities' contested decision.

Provisional legal remedy is always guaranteed under the conditions stated in Article 80 (5) and Articles 80a and 123 VwGO. In particular, this means that the lodging of an appeal in principle has a suspensory effect unless the court determines otherwise in the individual case.

- (e) Under German law, administrative rulings which can be challenged on appeal are in principle issued together with an explanation of legal remedy, which contains information about the opportunities to appeal against the ruling and the deadlines and formal requirements which apply. For federal authorities, explanations of legal remedy are explicitly prescribed as mandatory in Article 59 VwGO (see also answer (a) to Article 3 above). As a financial support mechanism, German law provides for the instrument of legal aid (Article 114 ff. ZPO) which enables persons in weaker financial positions to initiate legal action.

**Describe any obstacles encountered in the implementation of any of the paragraphs of Article 9.**

Due to an early Bundestag election in 2005, the transposition of Directive 2003/35/EC into German law was delayed.

**Provide further information on the practical application of the provisions on access to justice pursuant to Article 9, e.g. are there any statistics available on environmental justice and are there any assistance mechanisms to remove or reduce financial and other barriers to access to justice?**

A recent research study undertaken by the BfN produced the following statistics on the legal actions instituted by associations under nature conservation law during the period 2002-2006:

Table: Outcomes of legal actions instituted by associations and concluded during the period 2002-2006

Total number of complaints	Won	Partial success	Lost
124	26	20	78
100 %	21 %	16.1 %	62.9 %
Source: BFN, <i>Daten zur Natur</i> (UNPUBLISHED)			

**Give relevant web site addresses, if available:**

- Information from the Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU):

[http://www.bmu.de/gesetze\\_verordnungen/bmu-downloads/doc/37435.php](http://www.bmu.de/gesetze_verordnungen/bmu-downloads/doc/37435.php)

[http://www.bmu.de/naturschutz\\_biologische\\_vielfalt/bundesnaturschutzgesetz/gesetzestext/doc/2264.php](http://www.bmu.de/naturschutz_biologische_vielfalt/bundesnaturschutzgesetz/gesetzestext/doc/2264.php)

- Information from the Federal Environmental Agency (UBA):

<http://www.umweltbundesamt.de/umweltrecht/verbandsklage/index.htm>

- Online services provided by the information databases of the JURIS Legal Information System for the Federal Republic of Germany:

<http://www.juris.de/jportal/index.jsp>

**Articles 10-22 are not for national implementation.**

**General comments on the Convention's objective:**

The Federal Government takes the view that transparency and participation by civil society are key instruments of a modern environmental policy. The provision of information to, and participation of, the public are of crucial importance as prerequisites for opinion-forming and the shaping of the political process in a democracy. Only an informed public can demand compliance with environmental standards and play an active role in the conservation of the environment. A right of free access to environmental information is the key to effective monitoring and control of the activities of the administration and greater acceptance of administrative decisions. At the same time,

by tapping into the knowledge held by the public, the factual basis available to the authorities can be broadened, thus enhancing the quality of decision-making.

**If appropriate, indicate how the implementation of the Convention contributes to the protection of the right of every person of present and future generations to live in an environment adequate to his or her health and well-being.**

National regulations on access to information, public participation in decision-making processes, and access to the courts in environmental matters supplement and enhance the existing statutory provisions. They thus make a procedural contribution towards fulfilling the constitutional goal of environmental protection enshrined in Article 20a of the Basic Law, under which, mindful also of their responsibility towards future generations, all the state's institutions are obliged to protect the natural bases of life.